

# Sentinel COVID-19 Portfolio

Near-Real Time  
Drug Monitoring

COVID  
MyStudies  
eConsent App

Diagnostics  
Utilization  
Discovery Project

Engaging the  
Community via RUF

COVID-19  
Algorithm  
Validation

Interactive Querying  
on Therapies

Natural History  
Master Protocol

Coagulopathy  
Protocol Synopsis

## Available Data Sources

- Claims-based sources
  - National and Regional Insurers
- Linked EHR-claims sources
  - Integrated Delivery System partners
- Patient-level EHR data
  - PCORnet, TriNetX, HCA Healthcare, IBM Explorys, Veradigm



# Risk of Thromboembolic Events with COVID-19: A Sentinel System Investigation – Update on Methods

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# Disclaimer

- This presentation reflects the views of the author and should not be construed to represent FDA's views or policies

# Sentinel Coagulopathy Workgroup: Specific Aims

- **Aim 1:** Determine 90-day incidence of arterial and venous thromboembolic events (evaluated separately) with COVID-19 and its consequences.
  - Hypothesis: Events will occur within 90 days and may result in death.

# Sentinel Coagulopathy Workgroup: Specific Aims

- **Aim 1:** Determine 90-day incidence of arterial and venous thromboembolic events (evaluated separately) with COVID-19 and its consequences.
  - Hypothesis: Events will occur within 90 days and may result in death.
- **Aim 2:** Evaluate patient characteristics present at COVID-19 diagnosis as risk factors for arterial and venous thromboembolic events (evaluated separately).
  - Hypothesis: Characteristics that promote endothelial injury, stasis of circulation, and hypercoagulability will be risk factors for thromboembolism.

# Potential Risk Factors for Thromboembolic Events in COVID-19

## Hypothesized Risk Factors at Covid-19 Diagnosis

### Stasis

- Obesity
- Heart failure
- Polycythemia
- Older age
- Alcohol abuse
- History of atrial fibrillation

### Endothelial Injury

- Diabetes
- Hypertension
- Vascular disease
- Current tobacco use

### Hypercoagulability

- Cancer
- Pregnancy
- Medications
- History of stroke or venous thromboembolism

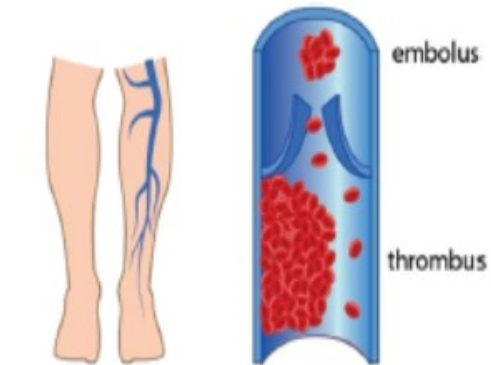
**SARS-CoV-2  
Infection**



**Vascular  
Endothelial Injury**

**Inflammation**

**Platelet  
Aggregation**



**Arterial or Venous  
Thromboembolic Event  
(Evaluated Separately)**

# Sentinel Coagulopathy Workgroup: Specific Aims

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  - Hypothesis: Events will occur within 90 days and may result in death.
- **Aim 2:** Evaluate patient characteristics present at COVID-19 diagnosis as risk factors for arterial and venous thromboembolic events (evaluated separately).
  - Hypothesis: Characteristics that promote endothelial injury, stasis of circulation, and hypercoagulability will be risk factors for thromboembolism.
- **Aim 3:** Compare 90-day risk of arterial and venous thromboembolic events (evaluated separately) between health plan members with COVID-19 and those with influenza.
  - Hypothesis: Risk of thromboembolic events will be higher with COVID-19 than influenza.

# Methods: Study Design / Data Source

- **Design:** Retrospective cohort studies (Aims 1-3)
- **Data Source: Sentinel System**
  - Priority Data Sources: **Integrated health systems (EHR + claims)**
    - Lab data available: COVID-19, influenza, coagulation labs
    - Can identify thromboembolic events via outpatient/hospital diagnoses
    - Can determine pre-existing comorbidities, medication exposures at diagnosis
    - Integrated systems minimize missed events
    - **Will work with Data Partners to determine interest, lag times for data**
  - Will consider added value of EHR-only and claims-only sources



# Study Patients (Aims 1 and 2)

	Criteria
<b>Inclusion Criteria</b>	<ol style="list-style-type: none"><li>1) Positive COVID-19 diagnostic test between Jan. 20, 2020 (first lab-confirmed COVID-19 case in US) and 90 days before date of closure*</li><li>2) <math>\geq 180</math> days of continuous enrollment at time of diagnosis</li></ol>
<b>Exclusion criteria</b>	Initial COVID-19 test result pending or inconclusive at dataset creation
<b>Selection</b>	All eligible health plan members will be selected

\* Date of closure to be determined by logistical considerations.

# Study Patients (Aims 1 and 2)

Prior thromboembolism increases risk for subsequent event, so will not be exclusion criterion.

## Inclusion Criteria

- 1) Positive COVID-19 diagnostic test between Jan. 20, 2020 (first lab-confirmed COVID-19 case in US) and 90 days before date of closure\*
- 2)  $\geq 180$  days of continuous enrollment at time of diagnosis

## Exclusion criteria

Initial COVID-19 test result pending or inconclusive at dataset creation

## Selection

All eligible health plan members will be selected

\* Date of closure to be determined by logistical considerations.

# Study Patients (Aim 3)

	COVID-19 Cohort	Influenza Cohort
Inclusion Criteria	<ul style="list-style-type: none"> <li>1) COVID-19 lab test+ between Jan. 20, 2020 and 90 days before closure*</li> <li>2) ≥180 days of continuous enrollment at time of diagnosis</li> </ul>	<ul style="list-style-type: none"> <li>1) Influenza lab test+ between Oct. 1, 2018 and Apr. 30, 2019</li> <li>2) ≥180 days of continuous enrollment at time of diagnosis</li> </ul>
Exclusion criteria	<ul style="list-style-type: none"> <li>1) Initial COVID-19 test result pending or inconclusive at dataset creation</li> <li>2) Coinfection with other respiratory virus</li> </ul>	<ul style="list-style-type: none"> <li>1) Initial influenza result pending or inconclusive at dataset creation</li> <li>2) Coinfection with other respiratory virus</li> </ul>
Selection	All eligible members will be selected	All eligible members will be selected

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# Study Patients (Aim 3)

Ensure that influenza patients will not have COVID-19

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# Primary Outcomes (Aims 1-3): Thromboembolic Events

## Primary

### Arterial Thromboses

Acute MI, angina

Acute ischemic or embolic  
stroke, TIA

Peripheral arterial disease

Limb ischemia, amputation

## ICD-10 Diagnoses

## Primary

### Venous Thromboses

Deep venous thrombosis

Pulmonary embolism

Venous thrombosis with  
device, implant, graft

# Planned Data Elements (Aims 1-3)

Demographic	Clinical	Laboratory*	Medication/Transfusions†
Enrollment status	Hospitalization	Hemoglobin	Anticoagulants
Age	ICU admission, ventilation	Platelet count	Anti-platelet drugs
Sex	Diabetes	PT/INR/PTT	Oral contraceptives
Race	Hypertension	D-dimer	Estrogen replacement
Body mass index	Vascular disease	Fibrinogen	Testosterone replacement
Location of care	COPD / asthma	Ferritin	Furosemide
Tobacco use	Liver disease	CRP / ESR	Morphine
Alcohol use	Chronic kidney disease	Procalcitonin	Thrombolytic agents
	Malignancy	Factor V Leiden	Blood transfusion
	Prior thromboembolism	Factor VIII	Immunoglobulin transfusion
	Severity of illness at diagnosis	Antiphospholipid Ab	
	Thrombophilia history	ABO blood type	

\* On or within +/- 7 days around index date; if multiple results available, will collect closest to index date

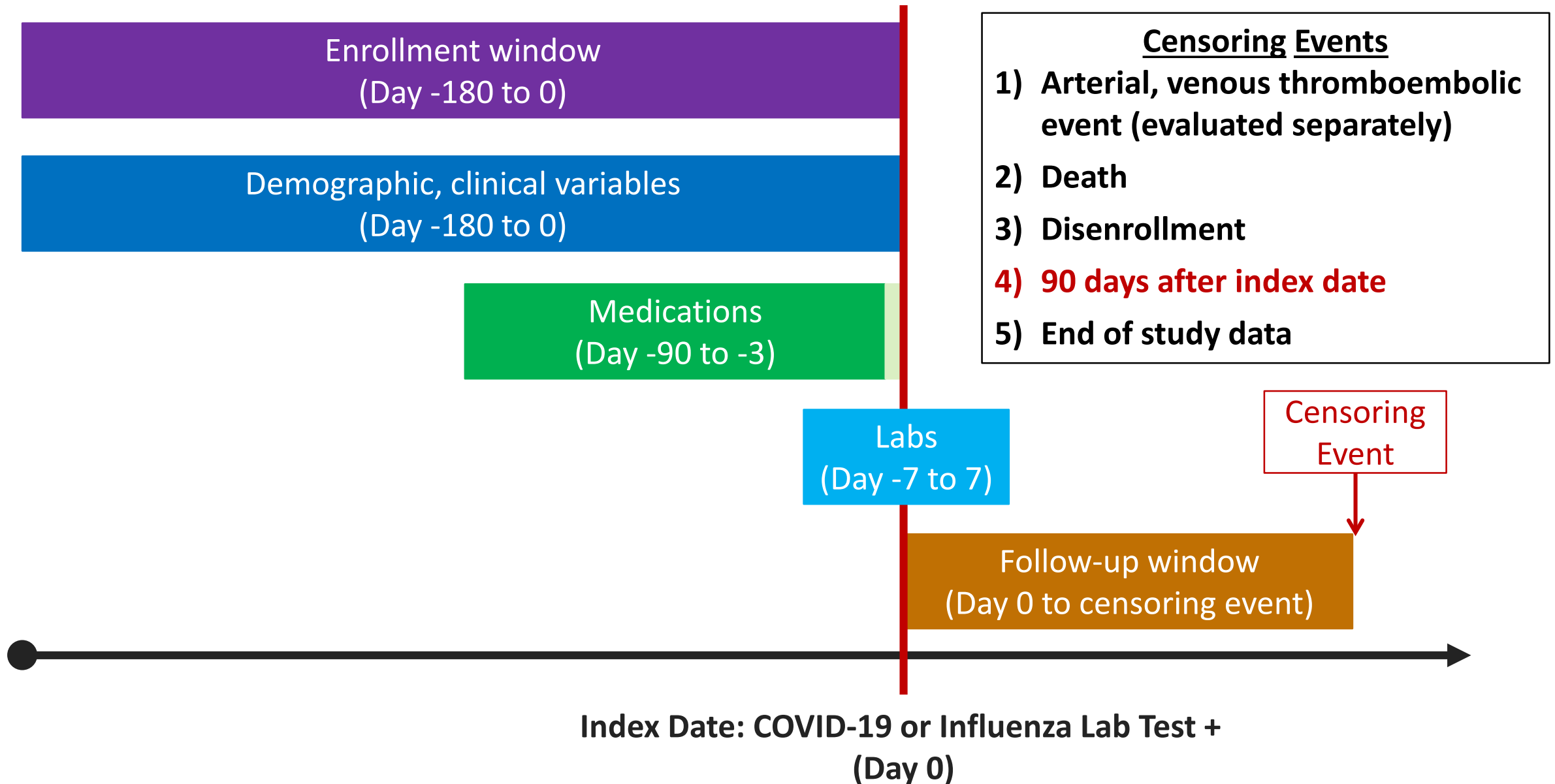
† **Based on outpatient medication fills between 90 and 3 days prior to index date**

# Definitions of Risk Factors for Thromboembolic Events (Aim 2)

Category	Risk Factor	Definition
<b>Stasis of Circulation</b>	Obesity	Body mass index >30 kg/m <sup>2</sup>
	Heart failure	ICD-10-CM diagnosis codes
	Polycythemia	Hemoglobin >16 gm/dL
	Older age	Will explore different age thresholds
	Alcohol abuse	ICD-10-CM diagnosis codes
<b>Endothelial Injury</b>	Diabetes	ICD-10-CM diagnosis codes or registry
	Hypertension	ICD-10-CM diagnosis codes
	Vascular disease	ICD-10-CM diagnosis codes
	Current tobacco use	Health factors data
<b>Hypercoagulability</b>	Cancer	ICD-10-CM diagnosis codes
	Pregnancy	ICD-10-CM diagnosis codes



# Data Analysis: Health Plan Member Follow-up for Aims 1-3



# Data Analysis: Aims 1-3

Aim	Planned Statistical Analyses
Aim 1	<p>Characteristics of COVID-19 cohort</p> <p>Calculate incidence rates (events/1000 persons-years) of thromboembolic events:            Overall, by arterial and venous events            Stratify by age, sex, race, setting of diagnosis (ambulatory, hospital, nursing home)  <b>Stratify by disease severity at diagnosis</b>, prior thromboembolism history  <b>Stratify by baseline anticoagulant use, anti-platelet use</b></p> <p>Calculate incidence rate of death within 90 days of thromboembolism event</p>
Aim 2	<p>Poisson regression: adjusted RRs (95% CIs) of events for risk factors</p>
Aim 3	<p>Compare characteristics between COVID-19 and influenza cohorts</p> <p>Poisson regression: adjusted RRs (95% CIs) of events in persons with COVID-19 vs. influenza  <b>Stratify by disease severity, setting of diagnosis</b>, prior thromboembolism history</p>

# Approaches to Address Potential Study Limitations

Limitation	Reasons Limitation May Occur	Methods to Address
<b>Selection Bias</b>	Variations in COVID-19 testing by: <ul style="list-style-type: none"><li>• Geography</li><li>• Calendar time</li><li>• Disease severity</li></ul>	Sensitivity analyses: <ul style="list-style-type: none"><li>• Condition on geography</li><li>• Restrict to time when testing more available</li><li>• Stratify on severity, setting at diagnosis (e.g., hospital)</li></ul>
<b>Misclassification</b>	Lack of validation of ICD-10 diagnoses for thromboembolic events	Sensitivity analyses: <ul style="list-style-type: none"><li>• Evaluate validated events</li></ul>
<b>Uncontrolled Confounding</b>	Incomplete data on race, tobacco, alcohol in some data sources	Sensitivity analyses: <ul style="list-style-type: none"><li>• Assess effects of unmeasured confounders on results</li></ul>

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